

UCC Downloads

The following forms are available for download on the Secretary of State's website.

General Forms/Information:

- Credit Card Payment Slip
- On-File Credit Card Set-Up Form
- AL Administrative Rules, Chapter 820-4-3 Procedures for Revised Article 9

Initial Financing Statement Forms:

- National UCC Financing Statement (UCC1) - Initial filings of all types, except FARM. This form can be filled out on your computer and then printed. Online filing is available.
- UCC Financing Statement Additional Parties (UCC1AP) - Provides space for adding several additional parties to the Financing Statement - always filed with a UCC1. This form can be filled out on your computer and then printed. This form is always filed with a UCC1.
- National UCC Financing Statements Addendum (UCC1AD) - Used to add additional parties or lengthy party information and/or collateral to the Financing Statement - always filed with a UCC1. This form can be filled out on your computer and then printed. This form is always filed with a UCC1.

Financing Statement Amendment Forms:

- National UCC Financing Statement Amendment (UCC3) - File amendments (changes to the information indexed), Assignments (full or partial assignments of interest in collateral), Continuations, and Terminations. This form can be filled out on your computer and then printed. Online filing is available.
- UCC Financing Statement Amendment Additional Parties (UCC3AP) - Used to add additional parties to the UCC3. This form can be filled out on your computer and then printed.
- National UCC Financing Statement Amendment Addendum (UCC3Ad) - Used to add additional collateral or miscellaneous information to the UCC3. This form can be filled out on your computer and then printed.

Information Statement:

- Information Statement (UCC5) - The Information Statement does not amend/change any filing/index. It is for informational purposes only. This form can be filled out on your computer and then printed.

FARM - Central Registry Commodity Filing Forms:

- Initial Farm Products Filing (UCC-IF) - Initial filing to notify sellers/commissioned agents/buyers of farm commodities that a lien exists against the specific commodity. Enables the sellers/commissioned agents/buyers to make the payment for the commodities payable to both the producer and the lien holder to avoid any future claims by the lien holder. This form can be filled out on your computer and then printed. Online filing is available.
- Farm Products Addendum (UCC-2F) - Used to add additional parties and/or collateral to the Financing Statement - always filed with a UCC-IF or UCC-3F.
- Farm Products Amendment (UCC-3F) - File Amendments (changes to the information indexed), Assignments (full or partial assignments of interest in collateral), Continuations, and Terminations. This form can be filled out on your computer and then printed.
- Product & County Code Table (UCC-PC) - Farm Products table and List of Alabama County Codes.
- Farm Products Registry Form (UCC20) - Used by merchants, buyers, and sellers to purchase the Farm Products Registry List.

Search Request Form:

- Information Request (UCC11) - All name and number searches and copy requests must be submitted on this form. This form can be filled out on your computer and then printed. Online filing is available.



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Alabama Secretary of State
ATTN: UCC Division
P.O. Box 5616
Montgomery, AL 36103-5616



1. Alabama Capitol Building
2. Avenue/Circle of Flags
3. Department of Archives & History
4. First White House of the Confederacy
5. Alabama Medicaid Agency
6. Attorney General's Office
7. Alabama League of Municipalities

8. State Wellness Center
9. RSA Plaza
10. RSA Reserved Parking Deck
11. Alabama Community College System
12. Alabama State House
13. State House Reserved Parking Lot

Alabama Secretary of State

John H. Merrill

UCC

Uniform Commercial Code



Phone: 334-353-0203



About the UCC Division

Welcome to the UCC Division of the Alabama Secretary of State's Office. Prior to 1967, the Uniform Commercial Code did not exist in Alabama. The UCC Section of today began January 1, 1967 and evolved from a manual filing system into a computer-automated filing system.

What is the Uniform Commercial Code?

The Uniform Commercial Code, which governs the filings made in the UCC Division, is located in Title 7, Article 9A of the Code of Alabama, 1975. UCCs, as referred in Article 9, are liens placed on certain personal property, such as equipment, accounts receivable, etc., that normally cannot be titled or deeded, such as automobiles, aircrafts, boats, and real estate.

What purpose does the Uniform Commercial Code serve?

The Uniform Commercial Code serves a number of purposes and covers just about all commercial transactions. However, the main purpose of a UCC is to perfect the secured interest of the secured party in personal property collateral.

UCC1

The UCC1 is the financing statement form recognized nationally under Revised Article 9 of the Uniform Commercial Code as the initial filing recorded in public records to perfect a secured party's interest in a debtor's personal property used as collateral or security in a transaction.

UCC1F

The UCC1F allows for the debtor to be placed on the Farm Products Registry only and does not perfect a lien. A UCC1 must also be filed in order to perfect a secured party's interest in a debtor's personal property used as collateral or security in a transaction.

UCC3

The UCC3 is a financing statement amendment form recognized nationally under Revised Article 9 of the Uniform Commercial Code as the filing recorded in public records to amend the information for a previously filed UCC1. A financing statement amendment can add, delete, or change debtor or secured party information and collateral information. It can additionally assign or release partial or full rights in the collateral, as well as continue/terminate the effectiveness of the UCC1.

UCC5

The UCC5 is the information statement correction form recognized nationally under Revised Article 9 of the Uniform Commercial Code as the filing recorded in public records to inform of any information that may have been filed in error. This form does not change/amend any filing/index and is for information purposes only.

UCC11

The UCC11 is the financing statement search request form recognized in many states under Revised Article 9 of the Uniform Commercial Code as the form required by filing offices to request a search of their records for UCC filings on a particular party.

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Filing Fees

Fees when mailing UCCs directly to the Secretary of State's Office:

- **\$20.00 - For the first 2 pages of all UCC1s and UCC3s (excluding Terminations)**
- **\$2.00 - for each page more than two**
- **No Charge – UCC3 Terminations**
- **\$5.00 - Non-Standard Forms**

All forms may be found on our website at sos.alabama.gov and should be submitted to:

**Alabama Secretary of State
ATTN: UCC Division
P.O. Box 5616
Montgomery, AL 36103-5616**

Online filings may be filed at www.sos.alabama.gov. Fee Schedules are also on the website for your convenience.

Note:

The filing officers may not offer any type of legal advice. In addition, we will not accept any filings if the Debtor or Secured Party are the same.

Term of Filings:

- **Business Filings – 5 years**
- **Agriculture Filings – 5 years**
- **Farm Filings – 5 years**
- **Mobile Homes – 30 years**
- **Utilities – Never expire**
- **State Tax Liens – 10 years**
- **Federal Tax Liens – 12 years**

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UCC Definitions

Financing Statement: The document filed at the state and/or local jurisdiction that states the names and addresses of the debtor and the secured party and a description of the collateral. When filed, a financing statement perfects the security interest of the secured party.

Amendment: A document that is filed to note some type of change to the original financing statement.

Assignment: An original creditor may assign his/her right in a secured transaction to another creditor.

Continuation: Usually done with a UCC3 form- continues an original financing statement for another effective period. The effective period is usually five years.

Termination: Once an obligation is paid or fulfilled, the original financing statement must be terminated by the secured party of record. Under Revised Article 9, debtors may file termination statements, but they are only effective if the secured party should have filed a termination and failed to do so.

Debtor: The party who owes payment or performance of a secured obligation.

Secured Party: A lender in a secured transaction.

Collateral: The property subject to a security interest, such as accounts, goods, fixtures, etc.

Maturity Date: The date the debtor and the secured party agree to complete the terms of their agreement.

Farm Products: Goods that are crops, livestock, or supplies used or produced in farming operations or if they are products of crops or livestock in their unmanufactured states and if they are in the possession of a debtor engaged in raising, fattening, grazing, or other farming operations. If goods are farm products, they are neither equipment nor inventory.

Secured Interest: A lender's right to personal property or fixtures that secured payment of performance of an obligation. The lender is deemed to have a security interest in the collateral.

Transmitting Utility: Any entity primarily engaged in the railroad, street, railway, or trolley bus business, the electronics or electronic communication transmissions business, the transmission of goods by pipeline, or the transmission of electricity, steam, gas, or water, or the provision of sewer service.

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