The following forms are available for download on the Secretary of State’s website.

**General Forms/Information:**
- Credit Card Payment Slip
- On-Fair Credit Card Set-Up Form
- AL Administrative Rules, Chapter 820-4-3 Procedures for Revised Article 9

**Initial Financing Statement Forms:**
- National UCC Financing Statement (UCC1) – Initial filings of all types except FARM. This form can be filled out on your computer and then printed. Available online.
- UCC Financing Statement Amendment (UCC3) – File amendments (changes to the information indexed) Assignments (full or partial assignments of interest in collateral) Continuations, and Terminations. This form can be filled out on your computer and then printed. Available online.
- National UCC Financing Statement Amendment Addendum (UCC3ad) – Used to add additional parties or lengthy party information to the Financing Statement – always filed with a UCC1. This form can be filled out on your computer and then printed.

**Financing Statement Amendment Forms:**
- National UCC Financing Statement Amendment (UCC3) – File amendments (changes to the information indexed) Assignments (full or partial assignments of interest in collateral) Continuations, and Terminations. This form can be filled out on your computer and then printed. Available online.
- UCC Financing Statement Amendment Additional Parties (UCC3AP) – Used to add additional parties to the Financing Statement – always filed with a UCC3. This form can be filled out on your computer and then printed.
- National UCC Financing Statement Amendment Addendum (UCC3ad) – Used to add additional collateral or miscellaneous information to the Financing Statement – always filed with a UCC3. This form can be filled out on your computer and then printed.

**Information Statement:**
- National UCC Information Statement (UCC5) – The Information Statement does not amend/change any filing/index. It is for informational purposes only. This form can be filled out on your computer and then printed.

**FARM - Central Registry Commodity Filing Forms:**
- Initial Farm Products Filing (UCC-1F) – Initial filing to notify sellers/commissioned agents/buyers of farm commodities that a lien exists against the specific commodity. Enables the sellers/commissioned agents/buyers to make the payment for the commodity payable to both the producer and the lien holder to avoid any future claims by the lien holder. This form can be filled out on your computer and then printed. Available online.
- Farm Products Amendment (UCC-3F) – File amendments (changes to the information indexed) Assignments (full or partial assignments of interest in collateral) Continuations, and Terminations. This form can be filled out on your computer and then printed.
- Farm Products Registry Form (UCC20) – Used by merchants, buyers, and sellers to purchase the Farm Products Registry List.
- Farm Products Table & County Code Table (UCC-PC) – Provides a list of Alabama counties and their code numbers.

**Search Request Form:**
- Information Request (UCC11) – All name and number searches and copy requests must be submitted on this form. This form can be filled out on your computer and then printed. Available online.

---

**Office Contacts**

**Beth Hall**  
UCC Division Director  
334-242-5970  
Margaret.Hall@sos.alabama.gov

**Beth Jensen**  
Assistant Division Director  
334-242-7213  
Beth.Jensen@sos.alabama.gov

**Physical Address:**  
RSA Plaza  
770 Washington Avenue, Suite 580  
Montgomery, AL 36104

**Mailing Address:**  
Alabama Secretary of State  
ATTN: UCC Division  
P.O. Box 5616  
Montgomery, AL 36103-5616

---

**UCC Downloads**

---

**Phone:** 334-353-0203
Welcome to the UCC Division of the Alabama Secretary of State’s Office. Prior to 1967, the Uniform Commercial Code did not exist in Alabama. The UCC Section of the Code began January 1, 1967 and evolved from a manual filing system into a computer-automated filing system.

What is the Uniform Commercial Code?
The Uniform Commercial Code, which governs the filings made in the UCC Division, is located in Title 7, Article 9 of the Code of Alabama, 1975. UCCs, as referred to in Article 9, are liens placed on certain personal property, such as equipment, accounts receivable, etc., that normally cannot be titled or deeded, such as automobiles, aircrafts, boats, and real estate.

What purpose does the Uniform Commercial Code serve?
The Uniform Commercial Code serves a number of purposes and covers just about all commercial transactions. However, the main purpose of a UCC is to perfect the secured interest of the secured party in personal property collateral.

UCC1
The UCC1 is the financing statement form recognized nationally under Revised Article 9 of the Uniform Commercial Code as the initial filing recorded in public records to perfect a secured party’s interest in a debtor’s personal property used as collateral or security in a transaction.

UCC3
The UCC3 is a financing statement amendment form recognized nationally under Revised Article 9 of the Uniform Commercial Code as the filing recorded in public records to amend the information for a previously filed UCC1. A financing statement amendment can add, delete, or change debtor or secured party information and collateral information. It can additionally assign or release partial or full rights in the collateral, as well as continue/terminate the effectiveness of the UCC1.

UCC3F
The UCC3F allows for the debtor to be placed on the Farm Products Registry only and does not perfect a lien. A UCC1 must also be filed in order to perfect a secured party’s interest in a debtor’s personal property used as collateral or security in a transaction.

UCC1F
The UCC1F allows for the debtor to be placed on the Farm Products Registry only and does not perfect a lien. A UCC1 must also be filed in order to perfect a secured party’s interest in a debtor’s personal property used as collateral or security in a transaction.

UCC1
The UCC1 is the financing statement form recognized nationally under Revised Article 9 of the Uniform Commercial Code as the form required by filing officers to request a search of their records for UCC filings on a particular party.

Fees when mailing UCCs directly to the Secretary of State’s Office:

- $20.00 - For the first 2 pages of all UCC1s and UCC3s (excluding Terminations)
- $2.00 - for each page more than two
- No Charge – UCC3 Terminations
- $5.00 - Non-Standard Forms

All forms may be found on our website at sos.alabama.gov and should be submitted to:

Alabama Secretary of State
ATTN: UCC Division
P.O. Box 5616
Montgomery, AL 36103-5616

Online filings may be filed at www.sos.alabama.gov. Fee Schedules are also on the website for your convenience.

Note:
The filing officers may not offer any type of legal advice. In addition, we will not accept any filings if the Debtor or Secured Party are the same.

Term of Filings:
- Business Filings – 5 years
- Agriculture Filings – 5 years
- Farm Filings – 5 years
- Mobile Homes – 30 years
- Utilities – Never expire
- State Tax Liens – 10 years
- Federal Tax Liens – 12 years

Termination:
Once an obligation is paid or fulfilled, the original financing statement must be terminated by the secured party of record. Under Revised Article 9, debtors may file termination statements, but they are only effective if the secured party should have filed a termination and failed to do so.

Dated Party:
The party who owes payment or performance of a secured obligation.

Secured Party:
A lender in a secured transaction.

Collateral:
The property subject to a security interest, such as accounts, goods, fixtures, etc.

Secured Interest:
A lender’s right to personal property or fixtures that secured payment of performance of an obligation. The lender is deemed to have a security interest in the collateral.

Farm Products:
Goods that are crops, livestock, or supplies used or produced in farming operations or if they are products of crops or livestock in their manufactured states and if they are in the possession of a debtor engaged in raising, fattening, grazing, or other farming operations. If goods are farm products, they are neither equipment nor inventory.

Farm Filings:
The filing officers may not offer any type of legal advice. In addition, we will not accept any filings if the Debtor or Secured Party are the same.

Term of Filings:
- Business Filings – 5 years
- Agriculture Filings – 5 years
- Farm Filings – 5 years
- Mobile Homes – 30 years
- Utilities – Never expire
- State Tax Liens – 10 years
- Federal Tax Liens – 12 years

Termination:
Once an obligation is paid or fulfilled, the original financing statement must be terminated by the secured party of record. Under Revised Article 9, debtors may file termination statements, but they are only effective if the secured party should have filed a termination and failed to do so.

Debtor:
The party who owes payment or performance of a secured obligation.

Termination:
Once an obligation is paid or fulfilled, the original financing statement must be terminated by the secured party of record. Under Revised Article 9, debtors may file termination statements, but they are only effective if the secured party should have filed a termination and failed to do so.

Dated Party:
The party who owes payment or performance of a secured obligation.

Debtor:
The party who owes payment or performance of a secured obligation.

Supply:
Any entity primarily engaged in the railroad, street, rail, or trolley bus business, the electronics or electronic communication transmission business, the transmission of goods by pipeline, or the transmission of electricity, steam, gas, or water, or the provision of sewer service.

Supply:
Any entity primarily engaged in the railroad, street, rail, or trolley bus business, the electronics or electronic communication transmission business, the transmission of goods by pipeline, or the transmission of electricity, steam, gas, or water, or the provision of sewer service.